


Response to Missing Requirements Under 35 USC § 371	Attorney Docket No.: ALAR4.001APC First Named Inventor: Peter Leonard Bergquist Int'l Application No.: PCT/AU2003/001314 US Application No.: 10/530,314 Entered National Phase: April 4, 2005 Title: RANDOM DRIFT MUTAGENESIS
	Direct all correspondence to Customer No.: 20995

Date: December 4, 2006
Page 1 of 2

Mail Stop PCT
 United States Patent and Trademark Office
 PO Box 1450
 Alexandria, VA 22313-1450

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

December 4, 2006

(Date)

 Neil S. Bartfield, Ph.D., Reg. No. 39,901

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

- (X) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
- (X) Copy of the Notification of Missing Requirements under 35 USC 371 dated September 11, 2006.
- (X) An Oath or Declaration signed by the inventors (35 USC 371(c)(4)) in 4 pages.
- (X) Power of attorney form and copy of assignment in 6 pages.
- (X) Return prepaid postcard.

FILING FEES NOT YET PAID:

FEE CALCULATION				
FEE TYPE		LARGE FEE	CALCULATION	TOTAL
Late Oath/Decl.	37 CFR § 1.492(h)	1617 (\$130)		\$130
1 Month Extension	37 CFR § 1.17(a)(1)	1251 (\$120)		\$120
			SUB TOTAL	\$250
The present application qualifies for Small Entity status under 37 CFR § 1.27. Fee reduced by 1/2.				(\$125)
			TOTAL FEE DUE	\$125

- (X) A check in the amount of **\$125** is enclosed to cover the above fees.

12/11/2006 LLANDGRA 00000069 10530314

01 FC:2617 65.00 OP
 02 FC:2251 60.00 OP

**Response to Missing
Requirements Under
35 USC § 371**

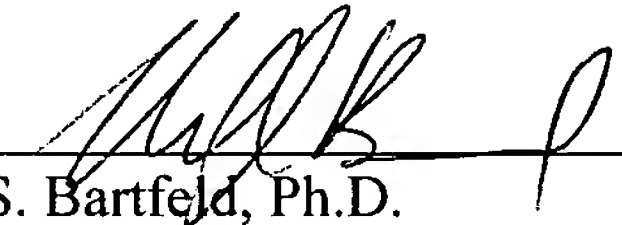
Attorney Docket No.: ALAR4.001APC
First Named Inventor: Peter Leonard Bergquist
Int'l Application No.: PCT/AU2003/001314
US Application No.: 10/530,314
Entered National Phase: April 4, 2005
Title: RANDOM DRIFT MUTAGENESIS

Direct all correspondence to Customer No.: 20995

Date: December 4, 2006

Page 2 of 2

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1410.



Neil S. Bartfeld, Ph.D.
Registration No. 39,901
Agent of Record
Customer No. 20,995
(619) 235-8550

3173413\cey\120406



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

ALAR4.001APC
 DOH/NSIB
 asy

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/530,314	Peter Leonard Bergquist	ALAR4.001APC
INTERNATIONAL APPLICATION NO.		
PCT/AU03/01314		
I.A. FILING DATE	PRIORITY DATE	
10/07/2003	10/04/2002	

20995
 KNOBBE MARTENS OLSON & BEAR LLP
 2040 MAIN STREET
 FOURTEENTH FLOOR
 IRVINE, CA 92614

CONFIRMATION NO. 3637

371 FORMALITIES LETTER



OC000000020371878

Date Mailed: 09/11/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/04/2005
- Copy of the International Search Report filed on 04/04/2005
- Preliminary Amendments filed on 04/04/2005
- Information Disclosure Statements filed on 04/04/2005
- Request for Immediate Examination filed on 04/04/2005
- U.S. Basic National Fees filed on 04/04/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/530,314	PCT/AU03/01314	ALAR4.001APC